



AF ZFW

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/670,852	
	Filing Date	09/26/2003	
	First Named Inventor	Huang, Ming	
	Art Unit	2875	
	Examiner Name	Anabel M. Ton	
Total Number of Pages in This Submission	11	Attorney Docket Number	BP3026-H47-P12

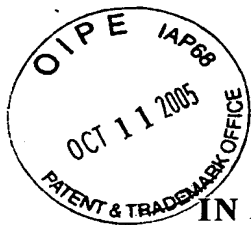
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name			
Signature	<i>Huang, Ming</i>		
Printed name	Huang, Ming		
Date	10/05/2005	Reg. No.	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant Huang, Ming Art Unit: 2875

Series No 10/670,852 Examiner: Anabel M. Ton

Filed 09/26/2003

Title **Light emitting rotary double refill pen**

Mail Stop Amendment

Honorable Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Responsive to the Official Action date 09/ 05 / 2005, please amend the above-referenced Patent Application as following:

According to the official action, the applicant has to restrict the scope of claims, so the features of claims 2,4 are added to the claim 1, and cancelled the original claims 2,and 4. The applicant needs to explain more clearly about the improved mechanism in the present invention as following:

Since in cited US6,585,388, Fig. 5, a stick (21) and a rotational cylinder (24) assembly is illustrated, and is described in the published specification column 3 lines 55~58, to slide them by 'cylinder-rotation side protrusions (23) engaged with a cylinder-rotation sawtooth (26) and an inclined protruding stopper (25) of the rotational cylinder (24) and a stop seat (24a) of the upper portion of the rotational cylinder (24).' Whereas in the present invention, see the original specification page 3 lines 29~30: 'An inner wall of the positioning tube (14) is installed with positioning recesses (141).' page 4 lines 3~4: 'A plurality of strips (161) at the lateral wall of the light emitting set (16) are embedded into the positioning recesses (141).'

Please see Fig. 7 of the present invention, in which the strip (161) is illustrated as shown is formed vertically on the lateral wall of the light emitting set (16), which is different from the cited US6,585,388 described in its published specification column 3 lines 31~33: 'three cylinder-rotation side protrusions (23) are mounted at the middle of the stick (21), spaced 120 degrees apart around the stick (21)' further, in column 4, line 17 and 20: 'the stick (21) is moved downward, the rotational cylinder (24) is rotated at a predetermined angle' , whereas in the original specification of the present invention, page 4 lines 6~8: 'When the user rotates the rotary tube (11), the positioning tube (14) will drive the light emitting set (16) to rotate at the same time and the sleeve (15) will drive the rotary portion (231) of the refill switching unit (23).'

5 line 23~24: 'the operation (of the refill switching unit (23) for changing the refill as described in that paragraph) will induce the positioning tube (14) in the rotary tube (11) drives the light emitting set (16) to rotate' as Fig. 7 of the present invention depicted. Both the operation of refill switching unit (23) and users rotates the rotary tube (11) can activate as described in page 5 line 25~27: 'when the lead (165) rotates to resist on the conductive plate (233) of the electric disk (24) as Fig. 8 of the present invention depicted, the light emitting body (162) will conduct and light up.' Thus, the present invention is different from the cited US 6,585,388 in view of the strips embedded into the recesses.

As to the cited JP409099692A, although it has the same refills changing design, but it disclosed neither automatic illumination by changing refills nor operated by users directly to rotate to light, which is different from the present invention.

Thus, as discussed above, by way of the strips (161) embedded into recesses (141) of the present invention, which is suitable for a user rotate the rotary tube (11) directly or by changing refills to illuminate or not, which is not subject to the predetermined angle rotation as described in US6,585,388, and has more convenient ways to illuminate.

The applicant keeps the claims in the original numbers and amended the claims as following: